The distribution of φ -probes in the inflectional structure

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1. Introduction

The two views are not easy to discern: V and Infl often cooccur in the same word

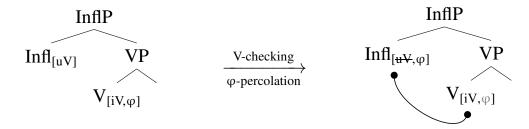
Argument from Bantu against Infl-origin (sections 2 & 3)

The lack of consistent association of functional heads with ϕ -probes

- Inconsistent loci of φ in simple vs compound tenses (section 2)
- Inconsistent loci of φ in aspectual verb constructions (section 3)

Proposal: Verb-origin & ϕ -percolation under V-checking (section 4)

(3) φ -probe introduced by V (4) φ -probing from Infl



This account derives the attested variability of φ -probe positions.

2. Inconsistent loci of φ in simple vs compound tenses

In Bantu languages, every verb in a clause is fully inflected for subject agreement.

(5) U-Ø-be **u**-phek-ile. a.

Ndebele (Pietraszko 2017)

2sg-PST-AUX 2sg-cook-PERF You had cooked.

Kilega (Carstens 2005)

b. Masunga ma-kili ma-yik-u-a. 6s-still 6s-cook-PASS-FS 'The yams are still being cooked.'

c. **Ni**-li-kuwa **ni**-ngali **ni**-ki-fanya kazi. 1sg-PST-AUX 1sg-still 1sg-PROG-do work 'I was still working'.

Swahili (Carstens 2001)

The Infl-origin approach: multiple ϕ -probes in the clause

A-li-kuwa **a**-me-fariki. (6) 3sg-PST-AUX 3sg-PERF-die

AspP 'He had died.' T_{Past} (Swahili; Nurse, 2008) Asp_{Perf} VP

- Agr on the auxiliary: φ on T
- Agr on the main V: φ on Asp

(Carstens 2001, 2005; Henderson 2006; Baker 2008, Baker & Willie 2010 a.o.)

Problem: Asp_{Perf} does not always have a φ -probe

Present Perfect: only one φ

A-Ø-me-fariki. (7)3sg-PRES-PERF-die 'He has died.'

TP **AspP** T_{Pres} φ Asp_{Perf} VP

Simple Present: T_{Pres} has φ

(8) A-Ø-fariki. 3sg-PRES-die 'He dies/is dying.'

ΤP (AspP) T_{Pres} (Asp) VP

(The overflow pattern of auxiliary use (Bjorkman 2011))

Inconsistent distribution of φ :

Asp_{Perf} has a φ -probe when T is [Past] but not when it's [Pres]

3. Inconsistent loci of φ in aspectual verb constructions

Ndebele aspectual auxiliaries – functional verbs with adverbial meanings

(9) U-lokhe u-bála 2sg-still 2sg-read.PROG 'You are still reading'

- (10)U-se u-balile 2sg-already 2sg-read.PERF 'You have already read'
- As functional verbs, they realize clausal inflectional heads (Cinque 1999 et seq.)
- The inflection on the main verb is licensed by the closest inflectional head¹

$$[T \ [Asp_{Prog} \ lokhe \ ... [V \ V_{main} \]]]$$

$$\begin{bmatrix} T & \begin{bmatrix} Asp_{Prog} & lokhe & ... & \begin{bmatrix} V & V_{main} & \end{bmatrix} \end{bmatrix} \end{bmatrix} \qquad (12) \qquad \begin{bmatrix} T & \begin{bmatrix} Asp_{Perf} & se & ... & \begin{bmatrix} V & V_{main} & \end{bmatrix} \end{bmatrix} \end{bmatrix}$$

Evidence for fixed positions of aspectual auxiliaries

(Further evidence in Pietraszko 2017)

- Perfect aspect is higher than Prog: (13)(Iatridou et al., 2003, Ramchand & Svenonius 2014, a.o.) T [Asp_{Perf} se 'already' [Asp_{Prog} lokhe 'still' [Voice ...]]]]
- The fixed positions restrict possible ordering of Asp-auxiliaries: (14)
 - U-se u-lokhe u-phéka 2sg-already 2sg-still 2sg-cook.PROG 'At this point, you are still cooking'

- $se < lokhe \checkmark$
- *lokhe < seb. *U-lokhe u-se u-phéka/u-phekile. 2sg-still 2sg-already 2sg-cook.PROG/2sg-cook.PERF
- The fixed positions restrict possible forms of the main verb: (15)
- u-balile. U-se a. 2sg-already 2sg-read.PERF 'You have already read.'

$$\begin{bmatrix} \text{TP} & [\text{AspP}_{\text{Perf}} & se_{\text{Asp}^0} & ([\text{AspP}) & [\text{vP} & balile_{\text{V}^0} &]]] \\ & & & & & & \end{bmatrix}$$

- u-bála. b. U-se 2sg-already 2sg-read.PROG 'You have already been reading'
- U-**lokhe** u-bála/*u-balile. c. 2sg-still 2sg-read.PROG/2sg-read.PERF 'You are still reading'

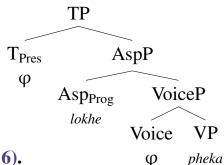
For accounts of such licensing as agreement see e.g. Adger 2003, Wurmbrand 2011, Bjorkman 2011, Pietraszko 2017.

Infl-origin approach to φ -distribution in Asp-V constructions

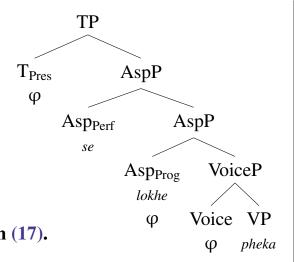
- (16)T appears to always have a φ -probe in Ndebele:
- U-∅-pheka inyama. a. 2sg-PRES-cook 9meat 'You cook meat'
 - $[TP \mid_{T_{\alpha} \text{ Pres}} u] \mid_{VoiceP} pheka]]]$
- b. U-a-pheka inyama. 2sg-PAST-cook 9meat 'You cooked meat' $[TP \mid_{T_{op Past}} u-a] \mid_{VoiceP} pheka]]]$

Inconsistent appearance of ϕ on Voice

- (17)U-lokhe **u**-phéka 2sg-still 2sg-cook.PROG 'You are still cooking'
 - Agr on *lokhe*: φ on T
 - Agr on the main V: φ on Voice
 - \Rightarrow Voice is an agreeing head in (17) but not in (16).



- Inconsistent appearance of φ on Asp_{Prog}
- (18)U-se **u**-lokhe **u**-phéka 2sg-already 2sg-still 2sg-cook.PROG 'At this point, you are still cooking'
 - Agr on se: φ on T
 - Agr on *lokhe*: φ on Asp_{Prog}
 - Agr on the main V: φ on Voice
 - \Rightarrow Asp_{Prog} is an agreeing head in (18) but not in (17).



Summary of the argument:

- The locus of φ -probes cannot be described in terms of specific functional heads
- Given that, the Infl-origin approach fails to capture the distribution of φ -probes in Bantu
- One correlation: the number of φ -probes correlates with the number of verbal elements

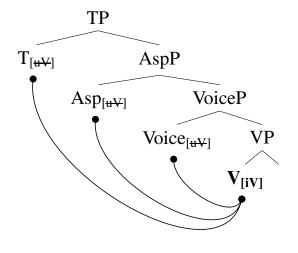
4. Deriving the distribution: Verb-origin and feature percolation

V-checking in the inflectional domain

- V and functional heads in its extended projection are related by feature checking (Svenonius 1994, Chomsky 1995, Julien 2002, Adger 2003 a.o.)
- Functional heads check their uV against the verb's iV

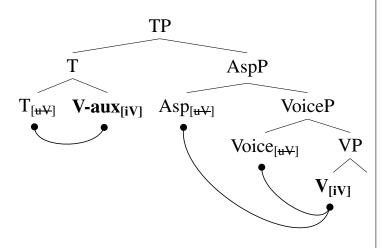
(Cowper 2010, Pietraszko 2017)

(19) Simple tense



one V-chain: $\langle T, Asp, Voice, \mathbf{V} \rangle$

(20) Compound tense



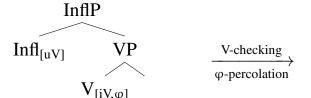
two V-chains: $\langle T, V-aux \rangle$; $\langle Asp, Voice, V \rangle$

(See Cowper 2010, Pietraszko 2016, 2017 for auxiliary insertion as last resort V-checking)

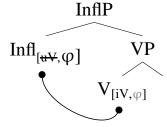
Feature percolation

- \bullet $\,\phi\text{-probes}$ are introduced in the inflectional structure on Vs
- They percolate under V-checking to the top of the V-chain (cf. Grimshaw 1991, 2000)
- Probing takes place after percolation

(21) ϕ -probe introduced in V

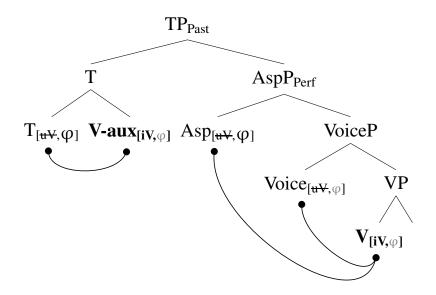


(22) ϕ -probing from Infl



Agreement in simple vs compound tenses

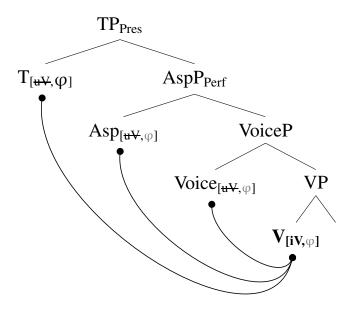
- (23) $\mathbf{Past} + \mathbf{Perf} \Rightarrow \mathbf{Aux-V}$
- a. A-li-kuwa a-me-fariki. 3sg-PST-AUX 3sg-PERF-die 'He had died.' $\rightarrow \phi$ on Asp_{Perf}
- b. Past Perfect



Two V-chains: $\langle T, V-aux \rangle$; $\langle Asp, Voice, V \rangle$

 $\rightarrow \phi\text{-probing from }T$ and Asp

- (24) $\mathbf{Pres} + \mathbf{Perf} \Rightarrow \mathbf{no} \ \mathbf{Aux-V}$
- a. A- \varnothing -me-fariki. 3sg-PRES-PERF-die 'He has died.' \rightarrow No φ on Asp_{Perf}
- b. Present Perfect



One V-chain: $\langle T, Asp, Voice, V \rangle$ $\rightarrow \phi$ -probing from T

Evidence for ϕ -percolation

- i. **Affix order:** AGR affixes are not adjacent to verb stems
- ii. Locality of agreement:
- (25) Subject-Object Inversion
 - a. **Imw**-ana **ka**-tula ici-ya. 1-child 1s-broke 7-pot 'The child broke the pot.'
 - b. Ici-ya ci-tula imw-ana.
 7-pot 7s-broke 1-child
 'The child broke the pot.'
 (Luguru, Marten & van der Wal 2014)

 $\begin{array}{c|c} TP \\ \hline T_{\phi} & VP \\ \hline \\ & V_{\phi} & Obj \\ \hline \end{array}$

 \rightarrow Which DP controls subject agreement correlates with movement to Spec,TP.

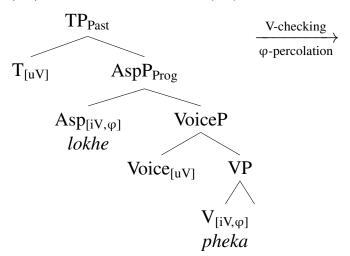
Agreement in aspectual-verb constructions

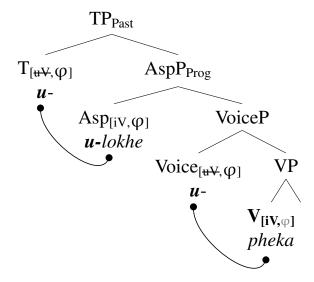
(26) **Aspectual auxiliaries:**

- a. functional heads with an iV feature (rather than uV)
- b. being verbs, they introduce a φ -probes in the structure
- (27) U-lokhe u-bála 2sg-still 2sg-read.PROG 'You are still reading'

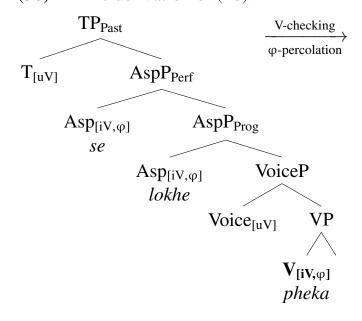
(28) U-se u-lokhe u-phéka
2sg-already 2sg-still 2sg-cook.PROG
'At this point, you are still cooking'

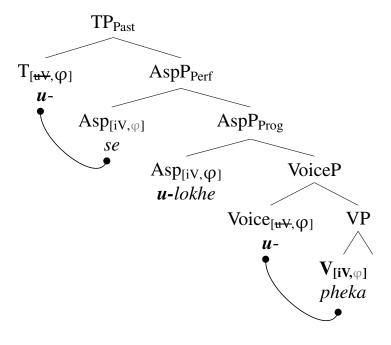
(29) The derivation of (27)





(30) The derivation of (28)





5. Conclusion

- Complete severing of φ -probes from Vs fails to capture their distribution in Bantu lgs
- φ-probes are not a property of individual functional heads, but rather of V-chains
- The size of a V-chain may vary, depending of the syntactic context
- The number of φ -probes is determined by the number of Vs, but their exact probing position is determined derivationally.

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